

# Combined climate and carbon-cycle effects of large-scale deforestation

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The prevention of deforestation and promotion of afforestation have often been cited as strategies to slow global warming. Deforestation releases CO<sub>2</sub> to the atmosphere, which exerts a warming influence on Earth's climate. However, biophysical effects of deforestation, which include changes in land surface albedo, evapotranspiration, and cloud cover also affect climate. Here we present results from several large-scale deforestation experiments performed with a three-dimensional coupled global carbon-cycle and climate model. These simulations were performed by using a fully three-dimensional model representing physical and biogeochemical interactions among land, atmosphere, and ocean. We find that global-scale deforestation has a net cooling influence on Earth's climate, because the warming carbon-cycle effects of deforestation are overwhelmed by the net cooling associated with changes in albedo and evapotranspiration. Latitude-specific deforestation experiments indicate that afforestation projects in the tropics would be clearly beneficial in mitigating global-scale warming, but would be counterproductive if implemented at high latitudes and would offer only marginal benefits in temperate regions. Although these results question the efficacy of mid- and high-latitude afforestation projects for climate mitigation, forests remain environmentally valuable resources for many reasons unrelated to climate.

afforestation | albedo change | climate change | global warming | climate policy

Deforestation affects the global climate both by releasing the carbon stored in the living plants and soils, and by altering the physical properties of the planetary surface. Deforestation exerts a warming influence by (i) adding CO<sub>2</sub> to the atmosphere, (ii) eliminating the possible increased carbon storage in trees as a result of future CO<sub>2</sub> fertilization, and (iii) decreasing evapotranspiration, particularly in the tropics (1–6). However, deforestation also exerts a cooling influence by (iv) decreasing the surface albedo, particularly in seasonally snow-covered high latitudes (7–10). We will refer to the first two climate effects that are mediated by changes in atmospheric carbon dioxide content as “carbon-cycle effects” and refer to the other two climate effects of forests as “biophysical effects.”

Because CO<sub>2</sub> is well mixed in the atmosphere, carbon-cycle effects are manifested globally, but biophysical effects are most strongly felt at regional scales. Although the carbon-cycle effects have been taken into account in the promotion of afforestation as a climate change mitigation strategy, the biophysical effects of land-cover change have been largely ignored (11). The investigation of the combined carbon-cycle and climate effects of deforestation on the global climate is the subject of this paper.

The relative importance of carbon-cycle and albedo effects can be quantified in terms of radiative forcing (7), but the complexity of the climate response to changes in hydrological cycle challenges the application of such a metric (12) to changes in evapotranspiration. Evapotranspiration changes trigger atmospheric water vapor, cloud, and lapse-rate changes that produce local and global temperature changes. Previous studies have shown that deforestation in the tropics would decrease evapo-

transpiration rates and increase sensible heat fluxes, resulting in regionally decreased precipitation and increased surface temperature (1–3, 5, 13, 14).

Past studies have investigated the biophysical effects of deforestation in specific climatic zones (1–5, 8, 13, 15), of global deforestation (16–19), and the combined biophysical and carbon-cycle effects of deforestation at different latitudes by using simple models (7, 20–22). Here, we employ the Lawrence Livermore National Laboratory INCCA (Integrated Climate and Carbon) model (23, 24) to investigate transient carbon/climate interactions from year 2000 to 2150. Our study investigates the combined climate and carbon-cycle effects of deforestation in a fully interactive three-dimensional climate model that incorporates complex submodels of vegetation dynamics and terrestrial and oceanic components of the carbon cycle (23–25).

## Results

Atmospheric CO<sub>2</sub> content is greater in the Global deforestation experiment by 381 ppmv because of both the release of carbon stored in trees in the early 21st century and the loss of CO<sub>2</sub> fertilization of forested ecosystems seen in the Standard simulation (Fig. 1). Despite higher atmospheric CO<sub>2</sub> concentrations, the global- and annual-mean temperature in the Global case is cooler by  $\approx 0.3$  K than the Standard case. Thus, on a global-mean basis, the warming carbon-cycle effects of deforestation are overwhelmed by the cooling biophysical effects.

Relative to the Standard case, the atmospheric CO<sub>2</sub> concentration is higher by 299, 110, and 5 ppmv in the Tropical, Temperate, and Boreal cases. The global-mean temperature differences relative to the Standard case in year 2100 in the Tropical, Temperate, and Boreal experiments are +0.7 K, –0.04 K, and –0.8 K, respectively (Fig. 1), implying that the combined carbon-cycle and biophysical effects from tropical, temperate, and boreal deforestation are, respectively, net warming, near-zero temperature change, and net cooling. These latitude-band experiments thus suggest that projects in the tropics promoting afforestation are likely to slow down global warming, but such projects would offer only little to no climate benefits when implemented in temperate regions and would be counterproductive, from a climate-perspective, at higher latitudes.

The linear sum of the area-weighted global-mean temperature change over all of the latitude-band experiments is –0.1 K in the year 2100. This value is close to the corresponding –0.3 K temperature change of the Global deforestation simulation,

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The authors declare no conflict of interest.

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Abbreviation: INCCA, Integrated Climate and Carbon.

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**Table 1. Climate variable differences between Global and Standard experiments for the decade centered on year 2100**

	Global	Global land	SH mid-latitude land (50°S to 20°S)	Tropical land (20°S to 20°N)	NH mid-latitude land (20°S to 50°N)	NH high-latitude land (50°S to 90°N)
Surface temperature, K	-0.3	-1.0	0.1	-0.4	-1.6	-2.1
Evapotranspiration, %	-2.6	-7.8	-16.7	-5.8	-5.8	-14.6
Surface albedo, %	1.9	5.2	5.0	4.1	4.7	10.7
TOA albedo, %	0.6	1.6	0.5	-0.3	1.7	5.5
Total cloudiness, %	-0.7	-1.7	-4.7	-4.6	-1.2	2.5
Surface SW absorbed, $Wm^{-2}$	-1.4	-4.3	-1.7	1.2	-5.2	-13.8
Surface downward SW, $Wm^{-2}$	2.2	5.1	11.3	12.9	3.0	-3.2

Evapotranspiration percentage differences are relative to Standard mean climate for this period. Cloudiness and albedo changes are absolute changes. SH, Southern Hemisphere; NH, Northern Hemisphere; TOA, Top of Atmosphere; SW, shortwave.

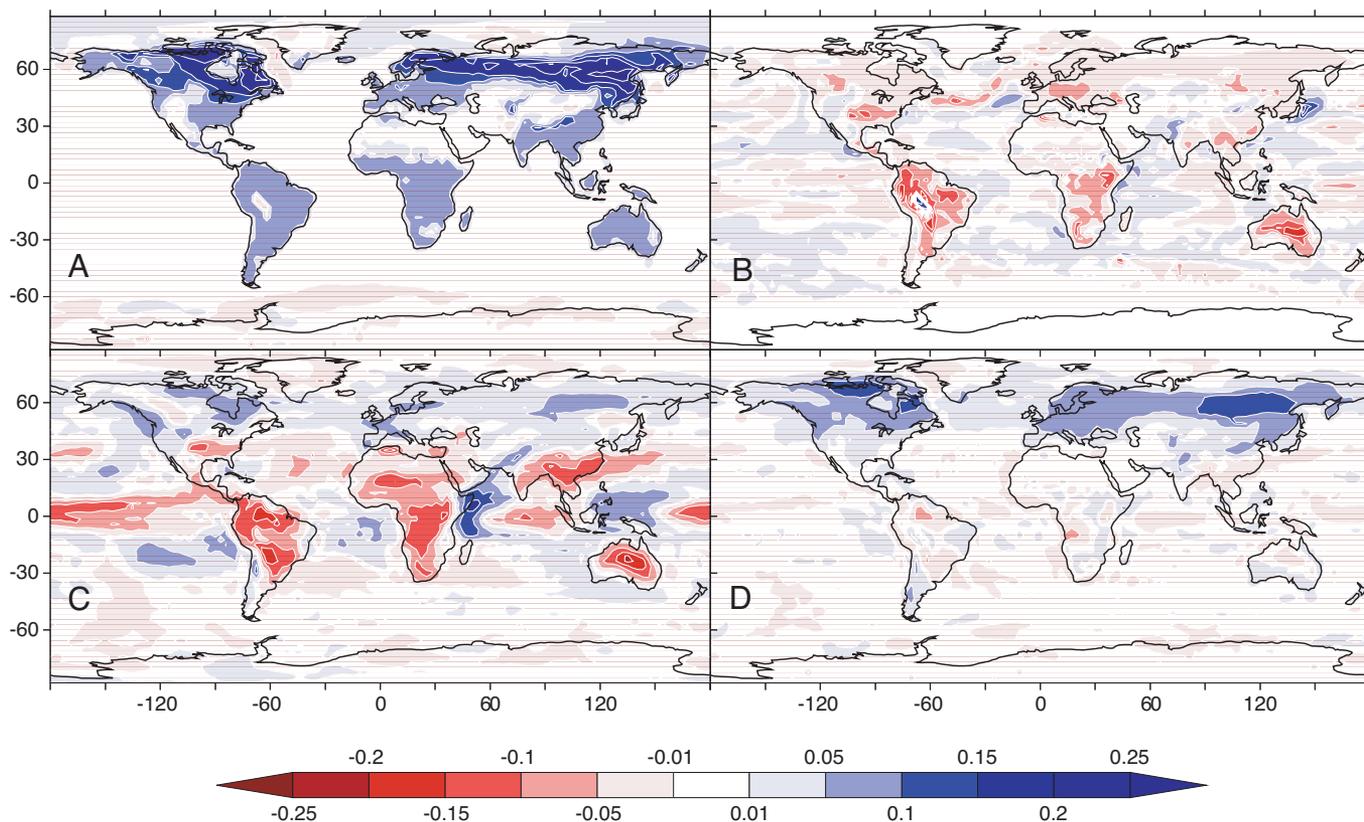
and model intercomparison studies are needed to confirm and better quantify our results.

Many of our conclusions are consistent with inferences drawn from previous studies that focused solely on radiative forcing (7) or used simpler climate models (20–22): the climate effects of CO<sub>2</sub> storage in forests are offset by albedo changes at high latitudes, so that from a climate change mitigation perspective, projects promoting large-scale afforestation projects are likely to be counterproductive in these regions.

We find that tropical deforestation contributes to global warming from net carbon-cycle and biophysical effects (Fig. 3E), supporting conclusions drawn in earlier studies (20). The tropical cooling seen in the Global deforestation experiment (Table 1) therefore implies the presence of remote effects of deforestation

implemented elsewhere (28–31). Nonetheless, this net tropical temperature change is small, and so its sign may be sensitive to the representation of physical processes such as cloud dynamics and surface hydrology in the model (33).

The results presented here highlight the need to employ climate-carbon models to comprehensively evaluate the carbon-cycle and biophysical effects of forests on climate. For example, although the importance of time horizon in defining tradeoffs between carbon and biophysical effects is evident (e.g., increasing ocean uptake in Fig. 2), this aspect of the problem has been largely overlooked in previous assessments. Another policy-relevant implication is that large-scale afforestation implemented in temperate latitudes may be largely ineffectual in mitigating global warming. We note, however, that results for



**Fig. 4.** Simulated spatial pattern differences (Global minus Standard) in the decade centered on year 2100 for the surface albedo (fraction) (A), evapotranspiration rates (cm/day) (B), cloudiness (fraction) (C), and planetary albedo (fraction) (D) differences. Albedo effects dominate the Northern Hemisphere mid- and high-latitude climate change and produce a strong cooling in Global relative to Standard. In the tropics and Southern Hemisphere land areas, the warming due to higher atmospheric CO<sub>2</sub> is largely offset by cooling biophysical effects, producing little net temperature change. In the tropics, removal of forests increases surface albedo (A) and decreases evapotranspiration (B). The reduction in evapotranspiration decreases cloudiness (C), which reduces albedo as seen from the top of the atmosphere (D), largely offsetting the effects of the increased surface albedo.

**Table 2. Surface temperature changes (K), relative to Standard, in Global, Tropical, Temperate, and Boreal deforestation experiments**

Deforestation experiments	Global-mean	Global land mean	SH mid-latitude land (50°S to 20°S)	Tropical land (20°S to 20°N)	NH mid-latitude land (20°S to 50°N)	NH high-latitude land (50°S to 90°N)
Global	-0.3	-1.0	0.1	-0.4	-1.6	-2.1
Tropical	0.7	0.9	0.8	0.2	1.1	1.7
Temperate	-0.04	-0.2	-0.3	0.07	-0.7	0.4
Boreal	-0.8	-1.4	-0.3	-0.3	-1.6	-3.8

SH, Southern Hemisphere; NH, Northern Hemisphere.

specific forest species in particular locations could vary from the global-scale results presented here. Furthermore, because carbon-cycle effects are manifested globally whereas biophysical effects are most strongly felt locally, a particular afforestation project could produce regional warming while cooling the remainder of the planet.

Finally, we must bear in mind that preservation of ecosystems is a primary goal of preventing global warming, and the destruction of ecosystems to prevent global warming would be a counterproductive and perverse strategy. Therefore, the cooling that could potentially arise from deforestation outside the tropics should not necessarily be viewed as a strategy for mitigating climate change because, apart from their potential climatic role, forests are valuable in many aspects. They provide natural habitat to plants and animals, preserve the biodiversity of natural ecosystems, produce economically valuable timber and firewood, protect watersheds through prevention of soil erosion, and indirectly prevent ocean acidification by reducing atmospheric CO<sub>2</sub>. In planning responses to global challenges, therefore, it is important to pursue broad goals and to avoid narrow criteria that may lead to environmentally harmful consequences.

### Model Experiments

In this study, we discuss six INCCA model simulations starting from the year 2000. In a “Standard” experiment without deforestation effects, CO<sub>2</sub> emissions follow historical levels for the period 1870–2000, SRES A2 levels (34) for the period 2000–2100, and a logistic function (23) for 2100–2150. This Standard simulation, which has been extensively discussed in our previous INCCA modeling studies (23–25), produces a global-mean warming in year 2100 that is 3.2 K greater than that of a “Control” simulation in which there are no CO<sub>2</sub> emissions and other greenhouse gases are fixed at preindustrial levels. Four other experiments with different configurations of large-scale deforestation also are simulated. A “Global” experiment that

brackets the climatic effects of global-scale deforestation is identical to the Standard experiment except that plant functional types representing trees are not allowed to exist after year 2000, and thus only shrub and grass plant functional types remain. The biomass in tree leaves and fine roots is immediately transferred to the litter pool in year 2000, and the stem biomass becomes litter on a time scale of ≈10–50 years depending on the tree plant functional type. In this simulation, the total carbon released to the atmosphere from tree functional types in the 21st century is 818 PgC.

To isolate the net effects of large-scale deforestation implemented in tropical, temperate, and Northern high latitudes, we consider three additional simulations where deforestation is restricted to the latitude bands 20°S to 20°N (“Tropical”), 20–50° in both the Northern and Southern Hemispheres (“Temperate”), and 50–90° in the Northern Hemisphere (“Boreal”), respectively. The corresponding amounts of carbon released from trees in these latitude bands in the 21st century are 422, 316, and 80 PgC, respectively. Note that the sum of carbon lost from tree plant functional types in these three latitude band simulations is equivalent to the tree carbon lost in the Global case.

We recognize the ecological complexities associated with our deforestation scenarios. Maintaining grasslands in regions that previously supported forests would require a substantial change in the disturbance regime. Either fire or intensive grazing or agriculture would be required to keep forests from growing back, at least initially, and all of these forms of disturbance would have large consequences for other radiative forcing agents including methane, aerosols, nitrous oxide, and ozone. Treatment of all of these biogeochemical processes is currently outside the scope of contemporary modeling capabilities.

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## Corrections

**BIOCHEMISTRY.** For the article “The crystal structure of two macrolide glycosyltransferases provides a blueprint for host cell antibiotic immunity,” by David N. Bolam, Shirley Roberts, Mark R. Proctor, Johan P. Turkenburg, Eleanor J. Dodson, Carlos Martinez-Fleites, Min Yang, Benjamin G. Davis, Gideon J. Davies, and Harry J. Gilbert, which appeared in issue 13, March 27, 2007, of *Proc Natl Acad Sci USA* (104:5336–5341; first published March 21, 2007; 10.1073/pnas.0607897104), the authors note that, in addition to writing the paper, Benjamin G. Davis should be credited with designing the research and analyzing the data. The corrected author contributions footnote appears below.

Author contributions: D.N.B., S.R., and M.R.P. contributed equally to this work; D.N.B., M.R.P., B.G.D., G.J.D., and H.J.G. designed research; D.N.B., S.R., and M.R.P. performed research; D.N.B., M.R.P., J.P.T., E.J.D., C.M.-F., M.Y., B.G.D., and H.J.G. analyzed data; and B.G.D., G.J.D., and H.J.G. wrote the paper.

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**ENVIRONMENTAL SCIENCES.** For the article “Combined climate and carbon-cycle effects of large-scale deforestation,” by G. Bala, K. Caldeira, M. Wickett, T. J. Phillips, D. B. Lobell, C. Delire, and A. Mirin, which appeared in issue 16, April 17, 2007, of *Proc Natl Acad Sci USA* (104:6550–6555; first published April 9, 2007; 10.1073/pnas.0608998104), the authors note the following. On page 6550, left column, in paragraph 1 of the main text, line 7, the phrase “However, deforestation also exerts a cooling influence by (*iv*) decreasing the surface albedo” should instead read: “However, deforestation also exerts a cooling influence by (*iv*) increasing the surface albedo.” Also on page 6550, right column, in *Results*, paragraph 2, line 1, the phrase “the atmospheric CO<sub>2</sub> concentration is higher by 299, 110, and 5 ppmv” should instead read: “the atmospheric CO<sub>2</sub> concentration is higher by 199, 110, and 5 ppmv.” Lastly, in the Fig. 1 legend, line 8, the phrase “net cooling, near-zero temperature change, and net warming, respectively” should instead read: “net warming, near-zero temperature change, and net cooling, respectively.” These errors do not affect the conclusions of the article.

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**GENETICS.** For the article “Deletion of the orphan nuclear receptor COUP-TFII in uterus leads to placental deficiency,” by Fabrice G. Petit, Soazik P. Jamin, Isao Kurihara, Richard R. Behringer, Francesco J. DeMayo, Ming-Jer Tsai, and Sophia Y. Tsai, which appeared in issue 15, April 10, 2007, of *Proc Natl Acad Sci USA* (104:6293–6298; first published April 2, 2007; 10.1073/pnas.0702039104), the authors note that the e-mail address for corresponding author Fabrice G. Petit appeared incorrectly. The correct address is [fabrice.petit@u-psud.fr](mailto:fabrice.petit@u-psud.fr). The online version has been corrected.

[www.pnas.org/cgi/doi/10.1073/pnas.0703353104](http://www.pnas.org/cgi/doi/10.1073/pnas.0703353104)

**PHYSIOLOGY.** For the article “Night eating and obesity in the EP3R-deficient mouse,” by Manuel Sanchez-Alavez, Izabella Klein, Sara E. Brownell, Justin V. Tabarean, Christopher N. Davis, Bruno Conti, and Tamas Bartfai, which appeared in issue 8, February 20, 2007, of *Proc Natl Acad Sci USA* (104:3009–3014; first published February 16, 2007; 10.1073/pnas.0611209104), the authors note that the following acknowledgment was inadvertently omitted from the article: “We thank Professor Shuh Narumiya (Kyoto University, Kyoto, Japan) (4, 5, 21) for the generation and subsequent generous transfer of the EP3R<sup>-/-</sup> mice.”

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